

OHKUBO et al.
Q79035
Preliminary Amendment

AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions and listings of claims in the application:

LISTING OF CLAIMS:

1. (original): A signal quality evaluation method for a reproduced equalized signal obtained by reproducing and equalizing a signal recorded on an optical disk medium by using embossing, or by using an optical data recording apparatus, comprising the steps of:

calculating, for each clock cycle, an equalization error between a target signal, which is obtained based on a predetermined data string and a predetermined partial response characteristic, and a signal reproduced each clock cycle; and

evaluating a signal quality based on the auto-correlation of the equalization error.

2. (original): A signal quality evaluation method for a reproduced equalized signal obtained by reproducing and equalizing a signal recorded on an optical disk medium by using embossing, or by using an optical data recording apparatus, comprising the steps of:

projecting an equalization error onto a noise vector that is defined by using a partial response characteristic and a difference between two sets of time series data; and

evaluating a signal quality based on a ratio of the variance of the projected equalization errors to an Euclid distance that is defined by using the partial response characteristic and the difference between the two sets of time series data.

3. (original): An optical disk signal quality evaluation method for a reproduced equalized signal obtained by reproducing and equalizing a signal recorded on an optical disk

OHKUBO et al.
Q79035
Preliminary Amendment

medium by using embossing, or by using an optical data recording apparatus, comprising the steps of:

designating an equalization error $v_k = (y_k - \sum a_{k-i}h_i)$, wherein y_k denotes the value of a signal reproduced and equalized for each clock cycle, a_k denotes a predetermined data string and h_k denotes a predetermined partial response characteristic, and designating a time delay operator D that uses a clock time as a unit;

designating as a polynomial $A(D) = \sum \alpha_j D^j$, which is defined by using α_j , a coefficient of either 1, 0 or -1, and which satisfies $\alpha_j \alpha_{j+1} \neq -1$, and designating $H(D) = \sum h_k D^k$ as a PR polynomial that defines a partial response;

based on a polynomial defined as $N(D) = A(D)H(D) = \sum \varepsilon_i D^i$, calculating a signal quality evaluation value S that is defined by the following equation (18)

$$S = \frac{N(\sum_i \varepsilon_i^2)^2}{\sum_{k=1}^N (\sum_i \varepsilon_i v_{k+i})^2}$$

(18); and

evaluating the quality of a signal recorded on an optical disk.

4. (currently amended): A signal quality evaluation method according to claim 3, whereby wherein the quality of the signal recorded on the optical disk is evaluated based on the signal quality evaluation value S obtained for at least two sets in sets of tap coefficients ε_i , one of which is a set of tap coefficients ε that provide the smallest Euclid distance $d = \sum \varepsilon_i^2$ and another one of which is a set of tap coefficients ε that provide the second smallest Euclid distance d .

5. (currently amended): A signal quality evaluation method according to claim 3, whereby wherein $h_0 = 1, h_1 = 2, h_2 = 2, h_3 = 2$ and $h_4 = 1$ are used as the partial response characteristic, and the quality of the signal recorded on the optical disk is evaluated based on the signal quality evaluation value S that is obtained for each set of tap coefficients ε that provide an Euclid distance d of 12 or 14.

6. (currently amended): A signal quality evaluation method according to claim 3, whereby wherein $h_0 = 1, h_1 = 2, h_2 = 2, h_3 = 2$ and $h_4 = 1$ are used as the partial response characteristic, and the quality of the signal recorded on the optical disk is evaluated based on the signal quality evaluation value S that is obtained for each of at least three sets of tap coefficients ε that are represented by the following equation (19).

$$\varepsilon: \varepsilon_0 = 1, \varepsilon_1 = 2, \varepsilon_2 = 2, \varepsilon_3 = 2, \varepsilon_4 = 1$$

$$\varepsilon: \varepsilon_0 = 1, \varepsilon_1 = 2, \varepsilon_2 = 1, \varepsilon_3 = 0, \varepsilon_4 = -1, \varepsilon_5 = -2, \varepsilon_6 = -1$$

$$\varepsilon: \varepsilon_0 = 1, \varepsilon_1 = 2, \varepsilon_2 = 1, \varepsilon_3 = 0, \varepsilon_4 = 0, \varepsilon_5 = 0, \varepsilon_6 = 1, \varepsilon_7 = 2, \varepsilon_8 = 1 \quad (19)$$

7. (currently amended): A signal quality evaluation method according to claim 1, whereby wherein $h_0 = 1, h_1 = 2, h_2 = 2, h_3 = 2$ and $h_4 = 1$ are used as the partial response characteristic and $R_1 = \sum v_k v_{k+1} / N$ is determined, wherein v_k denotes an equalization error and N denotes the number of samples; and whereby wherein the quality of the signal recorded on the optical disk is evaluated by examining a first signal quality evaluation value S_1 , a second signal quality evaluation value S_2 and a third signal quality evaluation value S_3 that are represented by the following equations (20), (21) and (22).

$$S_1 = \frac{14}{R_0 + (12R_1 + 8R_2 + 4R_3 + R_4)/7} \quad (20)$$

$$S_2 = \frac{12}{R_0 + (8R_1 + R_2 - 4R_3 - 6R_4 - 4R_5 - R_6)/6} \quad (21)$$

$$S_3 = \frac{12}{R_0 + (8R_1 + 2R_2 + R_4 + 4R_5 + 6R_6 + 4R_7 + R_8)/6} \quad (22)$$

8. (currently amended): A signal quality evaluation method according to one of claims 1 to 3, whereby wherein the predetermined data string is binary data for the reproduced equalized signal obtained by a Viterbi decoder.

9. (currently amended): A signal quality evaluation method according to one of claims 3 to 87, whereby wherein the number N of samples is equal to or greater than 10^4 .

10. (original): A signal quality evaluation apparatus, for a reproduced equalized signal y_k that is obtained by reproducing and equalizing a signal that has been recorded in advance on an optical disk medium by using embossing or by using an optical data recording apparatus, comprising:

a target signal generator for generating a target signal $\sum a_{k-i}h_i$ based on a predetermined data string a_k and a predetermined partial response characteristic h_k ;

a computation unit for using the reproduced equalized signal y_k and the target signal $\sum a_{k-i}h_i$ to calculate an equalization error $v_k = (y_k - \sum a_{k-i}h_i)$; and

OHKUBO et al.
Q79035
Preliminary Amendment

means for using auto-correlation for the equalization error to calculate a quality evaluation value for the reproduced equalized signal y_k .

11. (currently amended): A signal quality evaluation apparatus, for a reproduced equalized signal y_k that is obtained by reproducing and equalizing a signal that has been recorded in advance on an optical disk medium by using embossing or by using an optical data recording apparatus, comprising:

a target signal generator for generating a target signal $\sum a_{k-i}h_i$ based on a predetermined data string a_k and a predetermined partial response characteristic h_k ;

a computation unit for using the reproduced equalized signal y_k and the target signal $\sum a_{k-i}h_i$ to calculate an equalization error $v_k = (y_k - \sum a_{k-i}h_i)$;

a delay element group, including a plurality of delay elements, for receiving the equalization error, and for outputting equalization errors v_k, v_{k-1}, \dots and v_{k-n+1} a plurality of times;

means for receiving the equalization errors v_k, v_{k-1}, \dots and v_{k-n+1} and for calculating R_n ($n = 0, 1, 2, \dots$ to $L-1$), based on the following equation (23), and outputting R_n ;

noise variance calculation means for performing weighting for R_n with a coefficient β_0, β_1, \dots or β_{L-1} to obtain a noise variance $\sum \beta_i R_i$, and

quality evaluation value calculation means for using the noise variance $\sum \beta_i R_i$ to calculate a quality evaluation value for the reproduced equalized signal.,

wherein

$R_n = E \{v_k, v_{k-n}\}$, (and $E \{x_i, y_i\}$ is the average value of the product $x_i y_i$) (23).

OHKUBO et al.
Q79035
Preliminary Amendment

12. (currently amended): A signal quality evaluation apparatus, for a reproduced equalized signal y_k that is obtained by reproducing and equalizing a signal that has been recorded in advance on an optical disk medium by using embossing or by using an optical data recording apparatus, comprising:

a target signal generator for generating a target signal $\sum a_{k-i}h_i$ based on a predetermined data string a_k and a predetermined partial response characteristic ($h_0 = 1, h_1 = 2, h_2 = 2, h_3 = 2, h_4 = 1$);

a computation unit for using the reproduced equalized signal y_k and the target signal $\sum a_{k-i}h_i$ to calculate an equalization error $v_k = (y_k - \sum a_{k-i}h_i)$;

a delay element group, including a plurality of delay elements, for receiving the equalization error, and for outputting equalization errors v_k, v_{k-1}, \dots and v_{k-n+1} a plurality of times;

means for receiving the equalization errors v_k, v_{k-1}, \dots and v_{k-n+1} , and for calculating R_n ($n = 0, 1, 2, \dots$ to $L - 1$), based on the following equation (24), and outputting R_n ;

noise variance calculation means for performing weighting for R_n with a coefficient β_0, β_1, \dots or β_{L-1} to obtain a noise variance $\sum \beta_i R_i$, and

quality evaluation value calculation means for using the noise variance $\sum \beta_i R_i$ to calculate a quality evaluation value for the reproduced equalized signal.

wherein

$R_n = E \{v_k, v_{k-n}\}$, (and $E \{x_i, y_i\}$ is the average value of the product $x_i y_i\})$ (24).

13. (original): A signal quality evaluation apparatus according to claim 12, wherein the noise variance calculation means calculates a first noise variance σ_1^2 using the coefficients $\beta_0 = 1$, $\beta_1 = 12/7$, $\beta_2 = 8/7$, $\beta_3 = 4/7$ and $\beta_4 = 1/7$, calculates a second noise variance σ_2^2 using the coefficients $\beta_0 = 1$, $\beta_1 = 8/6$, $\beta_2 = 1/6$, $\beta_3 = -4/6$, $\beta_4 = -1$, $\beta_5 = -4/6$ and $\beta_6 = -1/6$, and calculates a third noise variance σ_3^2 using the coefficients $\beta_0 = 1$, $\beta_1 = 8/6$, $\beta_2 = 2/6$, $\beta_3 = 0$, $\beta_4 = 1/6$, $\beta_5 = 4/6$, $\beta_6 = 1$, $\beta_7 = 4/6$ and $\beta_8 = 1/6$; and wherein the quality evaluation value calculation means calculates the quality evaluation value by using the smallest of the values $(14/\sigma_1^2)$, $(12/\sigma_2^2)$ and $(12/\sigma_3^2)$.

14. (original): An optical disk apparatus on which a quality evaluation apparatus according to one of claims 10 to 13 is mounted.

15. (original): A signal quality evaluation apparatus according to one of claims 10 to 13, wherein the predetermined data string is obtained by performing Viterbi decoding for the reproduced equalized signal.

16. (currently amended): An optical disk, on which data are recorded under a condition wherein the signal quality evaluation value S according to claim 3, ~~or the first signal quality evaluation value S_4 , the second signal quality evaluation value S_2 and the third signal quality evaluation value S_3 according to claim 7, are~~ is equal to or greater than 12.

17. (currently amended): An optical disk, on which data are recorded under a condition wherein the signal quality evaluation value S according to claim 3, ~~or the first signal quality evaluation value S_4 , the second signal quality evaluation value S_2 and the third signal quality evaluation value S_3 according to claim 7, are~~ is equal to or greater than 15.

18. (currently amended): An optical disk recording/reproduction apparatus or reproduction apparatus for performing data recording or data reproduction under a condition wherein the signal quality evaluation value S according to claim 3, ~~or the first signal quality evaluation value S₁, the second signal quality evaluation value S₂ and the third signal quality evaluation value S₃ according to claim 7, are~~ is equal to or greater than 12.

19. (currently amended): An optical disk recording/reproduction apparatus or reproduction apparatus for performing data recording or data reproduction under a condition wherein the signal quality evaluation value S according to claim 3, ~~or the first signal quality evaluation value S₁, the second signal quality evaluation value S₂ and the third signal quality evaluation value S₃ according to claim 7, are~~ is equal to or greater than 15.

20. (currently amended): An optical disk recording/reproduction or reproduction apparatus comprising:

~~a function means~~ for generating a target signal based on the value of a signal reproduced for each clock cycle, a predetermined data string and a predetermined partial response characteristic; and

~~a function means~~ for calculating an equalization error that is the difference between the signal reproduced for each clock cycle and the target signal.

21. (currently amended): An optical disk recording/reproduction or reproduction apparatus according to claim 20, further comprising:

~~a function means~~ for performing addition or multiplication, or a sum of product operation, for equalization errors occurring at different times.

22. (original): An optical disk recording/reproduction or reproduction apparatus according to claim 20 or 21, wherein at least 10^4 equalization errors are obtained through calculation.

23. (new): A signal quality evaluation method according to claim 8, wherein the number N of samples is equal to or greater than 10^4 .

24. (new): An optical disk, on which data are recorded under a condition wherein the first signal quality evaluation value S_1 , the second signal quality evaluation value S_2 and the third signal quality evaluation value S_3 according to claim 7 are equal to or greater than 12.

25. (new): An optical disk, on which data are recorded under a condition wherein the first signal quality evaluation value S_1 , the second signal quality evaluation value S_2 and the third signal quality evaluation value S_3 according to claim 7 are equal to or greater than 15.

26. (new): An optical disk recording/reproduction apparatus or reproduction apparatus for performing data recording or data reproduction under a condition wherein the first signal quality evaluation value S_1 , the second signal quality evaluation value S_2 and the third signal quality evaluation value S_3 according to claim 7 are equal to or greater than 12.

27. (new): An optical disk recording/reproduction apparatus or reproduction apparatus for performing data recording or data reproduction under a condition wherein the first signal quality evaluation value S_1 , the second signal quality evaluation value S_2 and the third signal quality evaluation value S_3 according to claim 7 are equal to or greater than 15.